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Total Number of Pages in This Submission 6

Application Number 10/668,484

Filing Date September 22, 2003

First Named Inventor Shastri, Venkatram P.

Art Unit 1615

Examiner Name Vanlik, David L.

Attorney Docket No. RCHP-124US

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ENCLOSURES (Check all that apply)

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- Fee Attached
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- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/
Incomplete Application
 - Response to Missing Parts
under 37 CFR 1.52 or 1.53

- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a
Provisional Application
- Power of Attorney, Revocation,
Change of Correspondence
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- Terminal Disclaimer
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- CD, Number of CD(s) _____
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- After Allowance Communication
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FORM AND STATEMENT
UNDER 37 CFR 3.73(B).

Remarks:

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Firm Name RatnerPrestia



Signature

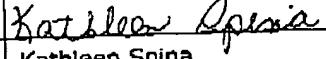
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INDICATION FORM

Application Number	10/668,484
Filing Date	September 22, 2003
First Named Inventor	Shastri, Venkatram P.
Title	ENGINEERING OF MATERIAL SURFACES
Art Unit	1615
Examiner Name	Vanik, David L.
Attorney Docket Number	RCHP-124US

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I am the:

Applicant/Inventor.
 Assignee of record of the entire interest. See 37 CFR 3.71.
 Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Signature	Jennifer Langenberger	Date	10/09/2006
Name	Jennifer Langenberger	Telephone	215-573-4508
Title and Company	The Trustees Of The University Of Pennsylvania		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: The Trustees of The University of Pennsylvania

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Application No./Patent No: 10/668,484 Filed/Issue Date: September 22, 2003

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Entitled: ENGINEERING OF MATERIAL SURFACES

OCT 24 2006

The Trustees of The University of Pennsylvania, a Not-for-Profit Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. the assignee of the entire right, title, and interest; OR
2. an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 015002, Frame 0546, or for which a copy thereof is attached.

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The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached. Additional documents in the chain of title are listed on a supplemental sheet. As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 36 CFR 3.11.**[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]**

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Jennifer Langenberger10/09/2006

Date

Jennifer Langenberger

Printed or Typed Name

Telephone Number

The Trustees Of The University Of Pennsylvania215-573-4508Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Application Number	10/669,484
Filing Date	September 22, 2003
First Named Inventor	Shastri, Venkatesh P.
Title	ENGINEERING OF MATERIAL SURFACES
Art Unit	1615
Examiner Name	Vanik, David L.
Attorney Docket Number	RCHP-124US

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State

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I am the:

Applicant/Inventor.
 Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Signature *Joseph J. DiDonato* Date *10/24/06*
Name *Joseph J. DiDonato* Telephone *215-590-4660*

Title and Company *JD, Director - The Children's Hospital of Philadelphia*

NOTE: Signatures of all inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

Total of 3 forms are submitted.

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to be used (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: The Children's Hospital of PhiladelphiaApplication No./Patent No.: 10/668,484Filed/Issue Date: September 22, 2003Enitled: ENGINEERING OF MATERIAL SURFACESThe Children's Hospital of Philadelphia
(Name of Assignee), a Not-for-Profit Corporation
(Type of Assignee, e.g. corporation, partnership, university, government agency, etc.)

states that it is:

1. the assignee of the entire right, title, and interest; OR
2. an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 015002, Frame 0599, or for which a copy thereof is attached.

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B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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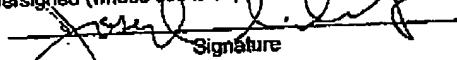
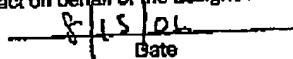
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Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 36 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Date

Joseph J. DiDonato

Telephone Number

Printed or Typed Name

215-590-4660

JD, Director

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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DATE: October 24, 2006 TIME: _____

TO:	Commissioner For Patents	FAX NO.:	571-273-8300
FROM:	Christopher A. Rothe, Reg. No. 54,650	ADMIN. ASST.:	Kathleen Spina
APPLN. NO.:	10/668,484	ATTY. DOCKET NO.:	RCHP-124US
TITLE OF APPLN.: ENGINEERING OF MATERIAL SURFACES			
FILING DATE: September 22, 2003		ART UNIT: 1615	
FIRST INVENTOR: Shastri, Venkatram P.			
TITLE OF DOCUMENT (and List of Attachments): Power of Attorney and Correspondence Address Indication Form			
Transmittal Sheet and the following executed documents from The Children's Hospital of Philadelphia and also a set of each from The Trustees of the University of Pennsylvania; POA and Address Indication Form with an Executed Statement Under 37 CFR 1.73(b)			
Total Number of Pages: 6 (including this form)			
COMMENTS			
PLEASE NOTE OUR NEW ATTORNEY DOCKET NUMBER: RCHP-124US			

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